All Prime Numbers Have Primitive Roots

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If p is a prime, then the numbers 1, 2, ..., p-1 form a group under multiplication modulo p. A number g that generates this group is called a primitive root of p; i.e., g is such that every number between 1 and p-1 can be written as a power of g modulo p. Building on prior work in the ACL2 community, this paper describes a constructive proof that every prime number has a primitive root.

1 Introduction

This paper describes a proof in ACL2 of the fact that all prime numbers have primitive roots. A *primitive* root of a prime number p is a number g such that all the numbers 1, 2, ..., p-1 can be written as $g^n \mod p$ for some value of n. For example, if p = 5, then g = 2 is a primitive root of p since $1 = 2^4 \mod 5$, $2 = 2^1 \mod 5$, $3 = 2^3 \mod 5$, and $4 = 2^2 \mod 5$. However, for p = 7, the number 2 is not a primitive root of 7, because $2^n \mod 7$ is always one of 2, 4, or 1. In particular, 2 does not generate 3 mod 7. So not all numbers in $1, 2, \ldots, p-1$ are powers of 2. The reader can easily verify that 3 is a primitive root of 7, so the theorem holds in this case.

More formally, if *p* is a prime it is well known that the set of numbers modulo *p*, written $\mathbb{Z}/p\mathbb{Z}$, forms a field. This occurs because when *p* is prime and for non-zero $a \in \mathbb{Z}/p\mathbb{Z}$, *a* always has a multiplicative inverse, i.e., a number $b \in \mathbb{Z}/p\mathbb{Z}$ such that $ab \equiv 1 \pmod{p}$. (Actually, inverses exist whenever *a* and *p* have no common factors, but this is guaranteed for all non-zero *a* when *p* is prime.)

The multiplicative group of this field, denoted by $(\mathbb{Z}/p\mathbb{Z})^*$, contains the elements 1, 2, ..., p-1 and g is a primitive root of p precisely when g generates (in the sense of group theory) this group. So the fact that prime numbers have primitive roots actually tells us something very interesting about the structure of the group $(\mathbb{Z}/p\mathbb{Z})^*$; it is a cyclic group, so it has the simplest possible structure. Primitive roots also have applications to fast arithmetic modulo p, similar to the way logarithms can be used to turn multiplication to addition over the reals [5].

The ACL2 formalization of this result follows the hand proof presented in [3]. The proof itself builds on two significant forays into number theory in ACL2. First is Russinoff's proof of quadratic reciprocity, which also defined the foundational notions of divides, primep, and useful lemmas such as that prime fields are integral domains (if ab = 0 then either a = 0 or b = 0), and an important lemma due to Euclid (if p divides ab, then either p divides a or p divides b) [4, 7]. We also built on top of Kestrel's formalization of prime fields, which includes definitions for the various field operations and their various arithmetic properties [6]. As this brief list of prior results indicates, many basic facts from number theory have already been formalized in ACL2, but unfortunately the results are scattered in several places in the community books. This is unfortunate, because number theory is a very practical branch of mathematics, e.g., with applications to cryptography. One thing we learned from this project is that it is time to collect these various results under a common branch of the community books, so that future projects can more easily build on top of the foundations that have already been implemented.

© R. & W. Gamboa This work is licensed under the Creative Commons Attribution License. The rest of this paper is organized as follows. In Sect. 2, we present some of the basic mathematical definitions in ACL2 of standard concepts from number (and group) theory, like the order of a group element. These are actually useful formalizations that could be used in other projects, not just as part of this effort. Then in Sect. 3 we discuss polynomial congruences, and in particular we prove that a special family of polynomials have the greatest possible number of distinct roots. This seemingly unrelated fact turns out to be a key technical lemma that is used in Sect. 4 to construct elements that have a desired order. The proof of the main theorem follows from these constructions, and it is shown in Sect. 5. We conclude the paper in Sect. 6 and give some ideas for future work.

2 Mathematical Background

In this section, we discuss some mathematical foundations that are needed to prove that all prime numbers have primitive roots. The definitions and proofs in this section are very general and not solely for the purpose of our desired theorem. In other words, these should be part of a global library of ACL2 books formalizing number theory.

The first important concept is that of the order of an element of a group. If $a \in (\mathbb{Z}/p\mathbb{Z})^*$, the *order* of *a*, denoted as ord(*a*), is the least positive integer *k* such that $a^k \equiv 1 \pmod{p}$.

The notion of order does not appear to be well-defined, since it seems possible that $a^k \not\equiv 1 \pmod{p}$ for all positive integers k. But when p is prime, an important theorem of Fermat's says that this cannot be the case.

Theorem 1 (Fermat's Little Theorem). *If* p *is a prime number, and* $a \in (\mathbb{Z}/p\mathbb{Z})^*$ *, then* $a^{p-1} \equiv 1 \pmod{p}$.

This theorem, formalized in ACL2 as part of [4, 7], immediately shows that $\operatorname{ord}(a) \leq p-1$. We used this to define order in ACL2. First, the function (all-powers a p) generates the list $[a^1 \mod p, a^2 \mod p, \ldots, a^k \mod p]$ such that $k \leq p-1$ and if $1 \leq i < k$, then $a^i \mod p \neq 1$. Clearly, the length of (all-powers a p) is between 1 and p-1, inclusive, and when the length is less than p-1 the last element must be equal to 1. Using Fermat's Little Theorem, it is easy to show that even when the length is exactly equal to p-1, the last element is equal to 1. Then (order a p) is defined as (len (all-powers a p)), and it follows that $a^{\operatorname{ord}(a)} \equiv 1 \pmod{p}$.

Another important theorem about order is that if *n* is a positive integer such that $a^n \pmod{p} = 1$ and there does not exist a smaller positive integer *m* such that $a^m \pmod{p} = 1$, then in fact $\operatorname{ord}(a) = n$. We capture this theorem in ACL2 as

We include the ACL2 source of that theorem here, only to familiarize the reader with the functions fep which recognizes elements of the field $\mathbb{Z}/p\mathbb{Z}$, primep which recognizes primes, pow which performs exponentiation in the field, and its friends add, mul, inv, etc., which perform the other arithmetic operations in the field—all of these were previously defined in the ACL2 Community Books.

An important fact about order is that for any element a, $\operatorname{ord}(a)$ divides p-1, which we will write in the usual notation as $\operatorname{ord}(a) | p-1$. This follows because if $\operatorname{ord}(a) = n$, then the list $L_n = [a^1 \mod p, a^2 \mod p, \ldots, a^n \mod p]$ ends in 1, and 1 does not appear anywhere inside the list. But then $a^{n+k} \equiv a^n a^k \equiv a_k \pmod{n}$, so $L_{2n} = [a^1 \mod p, a^2 \mod p, \ldots, a^{2n} \mod p]$ is simply two copies of L_n ; i.e., $L_{2n} = app(L_n, L_n)$. That means that L_{2n} ends in 1, and the only ones are $a^n \mod p$ and $a^{2n} \mod p$. This is easily extended to any multiple of n, and since we know that $a^{p-1} \mod p = 1$, it follows (almost) immediately that p-1 must be a multiple of $\operatorname{ord}(a)$, i.e., $\operatorname{ord}(a) | p-1$. This is actually a special case of Lagrange's theorem for groups, but specialized for $(\mathbb{Z}/p\mathbb{Z})^*$.

Another fact about order that is important to our proof is that the order of an inverse is the same as the order of the element. I.e., $\operatorname{ord}(a^{-1}) = \operatorname{ord}(a)$. We proved this equality by showing that both inequalities hold, and we used Lagrange's theorem to establish the inequalities. The end result in ACL2 is as follows

We end this section by mentioning that the proof uses many facts about divides and the greatest common divisor of two integers, formalized as divides and g-c-d in [4, 7]. And it also depends on many facts about the arithmetic functions in $\mathbb{Z}/p\mathbb{Z}$, which were formalized in [6]. While we needed to prove a handful of additional properties about many of these these functions, the existing formalizations had already established most of the foundational results, so this was mostly a matter of engineering the lemmas needed for our proof.

3 A Special Polynomial Congruence

In this section, we take an aside to consider polynomials modulo p. That is, we explore the roots of polynomial congruences, such as

$$a_0 + a_1 x + \dots + a_{n-1} x^{n-1} + a_n x^n \equiv 0 \pmod{p}.$$

The reason that polynomials pop up on a paper about prime numbers, is that polynomials can be used as an alternative language to describe properties of congruences. For example, Fermat's Little Theorem can be restated by saying that the polynomial congruence

$$-1 + x^{p-1} \equiv 0 \pmod{p}$$

has exactly p-1 distinct roots in $(\mathbb{Z}/p\mathbb{Z})^*$.

Polynomials in ACL2 were formalized in [2] (among possibly many others), but there are significant differences between polynomials and polynomial congruences. For example, the polynomial $x^2 + 2$ has no roots among the reals, but the similar polynomial congruence $x^2 + 2$ does have a root in $\mathbb{Z}/11\mathbb{Z}$, because when x = 3, $x^2 + 2 = 3^2 + 2 = 11 \equiv 0 \pmod{11}$. So many of the properties of polynomials could not be trivially transferred to polynomial congruences, and they had to be reproved from first principles.

One important lemma is that if x is a root of the product of polynomials poly1 and poly2, then x must be a root of at least one of those polynomials. This result depends crucially on the fact that $(\mathbb{Z}/p\mathbb{Z})^*$ is an integral domain when p is prime; i.e., if $ab \equiv 0 \pmod{p}$ then either $a \equiv 0 \pmod{p}$ or $b \equiv 0 \pmod{p}$. This lemma has a (mostly) immediate corollary, that the number of distinct roots of the product of poly1 and poly2 is at most the number of distinct roots of poly1 plus the number of distinct roots of poly2. Note that all the roots must be in $(\mathbb{Z}/p\mathbb{Z})^*$, so the number of distinct roots of any polynomial is at most p-1. This also means that it is possible to find a root methodically, by testing if 1 is a root, or 2 is a root, an so on. So if we know that a polynomial has a root, finding that root is guaranteed.

As a special case, consider a linear polynomial of the form $a_0 + a_1 x$, where $a_1 \not\equiv 0 \pmod{p}$. Then *a* is a root of this polynomial congruence if and only if $a = -a_0/a_1 \mod p$, or in ACL2

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....
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In particular, since the arithmetic operations return a single value, this also shows that a non-trivial linear polynomial has exactly one root, where by "non-trivial" we mean that $a_1 \not\equiv 0 \pmod{p}$.

Now consider a general polynomial $P(x) = a_0 + a_1x + \dots + a_{n-1}x^{n-1} + a_nx^n$ with $a_n \not\equiv 0 \pmod{p}$. Suppose that *a* is a root of this polynomial. Then using the long-division algorithm for polynomials, we can factor P(x) into P(x) = (x - a)Q(x) where $Q(x) = b_0 + b_1x + \dots + b_{n-2}x^{n-2} + b_{n-1}x^{n-1}$, for some suitable choice of b_i .

```
(defthm eval-poly-with-root
  (implies (and (integer-polynomial-p poly)
                (primep p)
                (integerp a)
                (fep x p)
                (pfield-polynomial-root-p poly a p))
           (equal (eval-pfield-polynomial poly x p)
                  (mul (eval-pfield-polynomial
                         '(,(- a) 1)
                         x p)
                        (eval-pfield-polynomial
                         (cdr (divide-polynomial-with-remainder-by-x+a
                               poly
                               (- a)))
                         x p)
                        p)))
  :hints ...)
```

This also shows that if b is a root of P(x), then either b = a or b is a root of Q(x). In other words, the number of distinct roots of P(x) is at most 1 more than the number of distinct roots of Q(x). If n = 1,

we've already seen that P(x) has exactly one root in $(\mathbb{Z}/p\mathbb{Z})^*$. So by induction, the number of roots of P(x) is at most *n*.

This is, of course, a familiar and expected result for polynomials over the reals, but it is somewhat surprising over $\mathbb{Z}/p\mathbb{Z}$, since it is possible that $P(a) \neq 0$ but that $P(a) \equiv 0 \pmod{p}$. I.e., it is possible that *a* is a root of the congruence, but not of the polynomial over the reals. Nevertheless, the total number of roots for the congruence is still bounded by *n*.

Now we introduce a special class of polynomials, which we call Fermat polynomials. The function (fermat-poly n) constructs the polynomial $-1 + x^n$. Now suppose that n = p - 1. From Fermat's Little Theorem, it follows that this polynomial has exactly n = p - 1 roots.

Now, suppose that *n* is a composite that can be written as n = cd, and again consider the polynomial $-1 + x^n$. We observe that this polynomial can always be factored as

$$-1 + x^{n} = -1 + x^{cd} = (-1 + x^{d})(1 + x^{d} + x^{2d} + \dots + x^{(c-1)d}).$$
(1)

This result is easily proved on paper by expanding the right-hand side and matching up exponents. In ACL2, this is a more technical proof that is really more about list manipulation. In particular, notice that the second polynomial on the right-hand side consists of c - 1 copies of the polynomial x^d and that multiplying is by x^d (and taking into account the leading 1) results in c copies of x^d with a leading 0 consed in front. Summing the negated polynomial then cancels all but the last copy of x^d , so the result is $-1 + x^{cd}$. We found it convenient that reasoning about exponents was reduced to reasoning about cons and append k times, at which ACL2 excels.

Looking at Eqn. 1, we see that the left-hand side has exactly *n* roots when n = p - 1 since *p* is prime. But the right-hand side has at most d + (c - 1)d distinct roots. Since d + (c - 1)d = d + cd - d = cd = n, we conclude that both polynomials in the product of the right-hand side must have the maximum number of distinct roots. In particular, the polynomial $-1 + x^d$ must have exactly *d* distinct roots. Recall that the only thing special about *d* is that is divides *n*, so we have proved the following important technical lemma:

We will use this lemma in the next section.

4 Constructing Elements of Given Order in $(\mathbb{Z}/p\mathbb{Z})^*$

In this section, we show how we can construct an element that has a desired order in $(\mathbb{Z}/p\mathbb{Z})^*$, possibly by using other elements with known smaller order.

For starters, suppose that *a* has order *m* and *b* has order *n*. What is the order of *ab*? In general, there's not much we can say; e.g., if $b = a^{-1}$ then ab = 1 so its order is 1. But when *m* and *n* are relatively prime, that is gcd(m,n) = 1, it turns out that the order of *ab* is equal to *mn*.

To see this, observe that $(ab)^{mn} \equiv 1 \pmod{p}$. This follows because

$$(ab)^{mn} \equiv ((ab)^m)^n$$
$$\equiv (a^m b^m)^n$$
$$\equiv (1b^m)^n$$
$$\equiv (b^m)^n$$
$$\equiv b^{mn}$$
$$\equiv (b^n)^m$$
$$\equiv 1^m$$
$$\equiv 1 \pmod{p}.$$

As seen in Sect. 2, this implies that $\operatorname{ord}(ab) \mid mn$, which means $\operatorname{ord}(ab) \leq mn$. That is, $\operatorname{ord}(ab) \leq \operatorname{ord}(a) \operatorname{ord}(b)$.

Now, suppose that k is such that $(ab)^k \equiv 1 \pmod{p}$. It follows that $a^k \equiv b^{-k} \equiv (b^{-1})^k \pmod{p}$. Raising both sides to the power n, we have that $a^{nk} \equiv (b^{-1})^{nk}$. Since $\operatorname{ord}(b^{-1}) = \operatorname{ord}(b) = n$, $(b^{-1})^{nk} \equiv 1 \pmod{p}$, so $a^{nk} \equiv 1 \pmod{p}$ as well. This means that $\operatorname{ord}(a^k) \mid n$ and $\operatorname{ord}(a^k) \mid n$, and since $\operatorname{gcd}(m, n) = 1$ this means that the only possible value of $\operatorname{ord}(a^k)$ is 1.

All that is to show that $a^k \equiv b^k \equiv 1 \pmod{p}$. but that means that $m \mid k$ and $n \mid k$. Again, since gcd(m,n) = 1 this means that $mn \mid k$. The only constraint on k is that $(ab)^k \equiv 1 \pmod{p}$, so ord(ab) is such a k. This means that $ord(a) \operatorname{ord}(b) \mid \operatorname{ord}(ab)$, so $\operatorname{ord}(a) \operatorname{ord}(b) \leq \operatorname{ord}(ab)$. Combined with the earlier inequality this shows that $\operatorname{ord}(ab) = \operatorname{ord}(a) \operatorname{ord}(b)$. In particular, given a and b with orders m and n that are relatively prime, this shows that we can construct an element with order mn:

We now show how to construct an element that has a different special order. In particular, we wish to show that if *p* and *q* are primes and $q^k | n = p - 1$, then there is some element g_{q^k} of $(\mathbb{Z}/p\mathbb{Z})^*$ with order q^k .

We define the function (number-of-powers x q) which returns the largest power k such that $q^k | x$. For instance, (number-of-powers 40 2) is 3, since $40 = 2^3 \cdot 5$. Now suppose that x divides a prime power q^n . Then in fact, x must be one of 1, $q, q^2, ..., q^n$. In particular, $x = q^k$ where k is the number of powers of q in x (and note that $k \le n$):

So suppose that x is such that $x^{q^n} \equiv 1 \pmod{p}$, assuming for now that such an x exists. Then clearly $\operatorname{ord}(x) | q^n$, which means that $\operatorname{ord}(q^n)$ must be one of 1, q, q^2, \ldots, q^n . Now suppose also that the order of x is q^i where i < n. Then $x^{q^i} \equiv 1 \pmod{p}$, so $x^{q^i} \equiv 1 \pmod{p}$ for any j > i. This follows because

$$x^{q^{j}} \equiv x^{q^{i+j-i}}$$
$$\equiv x^{q^{i}q^{j-i}}$$
$$\equiv \left(x^{q^{i}}\right)^{q^{j-i}}$$
$$\equiv 1^{q^{j-i}}$$
$$\equiv 1 \pmod{p}$$

In particular, if the order of x is q^i where i < n, it must be the case that $x^{q^{n-1}} \equiv 1 \pmod{p}$.

Now we address the question of whether such an x exists. I.e., is there an x such that both of these equations hold:

$$x^{q^n} \equiv 1 \pmod{p} \tag{2}$$

$$x^{q^{n-1}} \not\equiv 1 \pmod{p} \tag{3}$$

Note that for such an x, ord(x) is necessarily equal to q^n .

This is where the theorems about polynomials proved in Sect. 3 come into play. Eqn. 2 holds precisely when x is a root of the polynomial congruence $x^{q^n} - 1 \equiv 0 \pmod{p}$, and the theorem from Sect. 3 guarantees that there are precisely q^n distinct roots of this polynomial congruence, as long as $q^n | p - 1$. So there are q^n values of x that satisfy Eqn. 2. Similarly, there are q^{n-1} values of x that satisfy Eqn. 3, again under the assumption that $q^{n-1} | p - 1$, which is guaranteed when $q^n | p - 1$. Since $q^n > q^{n-1}$, there must be at least one x that satisfies Eqn. 2 but not Eqn. 3. It follows, then that $\operatorname{ord}(x) = q^n$ for this particular x. Moreover, as observed earlier, the roots of any non-trivial polynomial congruence must be one of 1, 2, ..., p - 1, so it is possible to *find* an appropriate value of x by searching.

Using the two theorems proved in this section, we will show in the next how to find an element with order p - 1, i.e., a primitive root of p.

5 A Primitive Root of p

Using the results proved in Sect. 4, it is straightforward to prove that all prime numbers have primitive roots. The typical pen-and-paper proof goes like this. Suppose that p is an odd prime. (If p = 2, it is obvious that 1 is a primitive root.) Factor the number p - 1 as a product of prime powers, as in

$$p-1=q_1^{k_1}\cdot q_2^{k_2}\cdot \cdots \cdot q_m^{k_m}$$

Now, for each term $q_i^{k_i}$, there is an element c_i of order $q_i^{k_i}$. Note that all the q_i are primes distinct from one another, so the gcd of any $q_i^{k_i}$ and any product of other $q_j^{k_j}$ must be 1. So the c_i are numbers of order $q_i^{k_i}$ which are relatively prime. So $c = c_1 \cdot c_2 \cdot \cdots \cdot c_m$ must have order $q_1^{k_1} \cdot q_2^{k_2} \cdot \cdots \cdot q_m^{k_m} = p - 1$. Thus c is a primitive root of p.

We could have followed this approach in ACL2, and in fact prime factorization has been formalized in ACL2 and NQTHM numerous times, e.g., in [1]. But this turned out not to be very helpful for two reasons. First, the formalization in [1] uses a different (albeit equivalent) definition of "prime." This is a common situation in the ACL2 formalizations of number theory, and it is something that we would like to see addressed. Second, the result about primitive roots does not depend on the full Fundamental Theorem of Arithmetic; i.e., what we need is that the number p - 1 can be decomposed into prime powers, but we do not need that the decomposition is unique. Naturally, the uniqueness property is the hardest part of the proof. So simply proving a weak version of prime factorization would be easy and effective for our purposes.

In fact, it's possible to decompose p-1 into powers of primes and compute the primitive root c at the same time. The first step is to define the function (primitive-root-aux k p) that finds an element of order k:

The difficult part is helping ACL2 admit this function by proving that it always terminates. The function least-divisor, defined in [4, 7], finds the smallest divisor (starting at 2) of k. For $k \ge 2$, it is shown in [4, 7] that this number is always a prime less than or equal to k. Then the function number-of-powers finds the corresponding exponent in the prime decomposition of k. Using those facts, we proved that k/q^n is a natural number that is smaller than k, thus proving the termination of the function.

By inspection, it is easy to see that this function does return the primitive root c described in the hand proof. We proved that in ACL2 using an induction suggested by the function primitive-root-aux to prove the following key theorem:

In order for this to work, we had to prove a number of technical lemmas. For starters, since we're using induction as suggested by primitive-root-aux we have to show that k/q^n is a natural that divides p-1 whenever k is a natural that divides p-1. And we also had to show that the two terms multiplied in primitive-root-aux satisfy the conditions of the theorems construct-product-order and order-is-prime-power that are used to create the element of order k. The most interesting are

- the functions return elements in the multiplicative group $(\mathbb{Z}/p\mathbb{Z})^*$,
- in particular the result of those operations is never 0,
- the number k/q^n divides p-1 if k divides p-1,
- and the gcd of q^n and k/q^n is 1.

Once that is done, the primitive root of p can be defined and shown to be a primitive root as follows:

6 Conclusion

In this paper, we presented a proof that all prime numbers have at least one primitive root. In fact, the number of primitive roots of p can be shown to be $\phi(p-1)$ where ϕ is Euler's totient function (the number of positive integers up to n that are relatively prime to n). Proving that would be a nice extension to this work that could happen in the future.

The proof relied on prior work on number theory, but our experience suggests that the prior work is scattered across many directories in the community books. Moreover, many foundational results needed to be proved to complement the existing foundations. This reflects the fact that the development of number theory in ACL2 has been driven by specific results, so the foundations developed in each project

are tailored to support the needs of those specific projects. Given the importance of number theory in areas such as cryptography, as well as the suitability of ACL2 to reason effectively about this branch of mathematics, we think is would be a great time to consolidate these formalizations in ACL2 under a common location in the community books. Recent discussions in the ACL2 mailing list suggest that there is enough momentum to carry out this project.

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