

Interface Simulation Distances*

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The classical (boolean) notion of refinement for behavioral interfaces of system components is the alternating refinement preorder. In this paper, we define a distance for interfaces, called *interface simulation distance*. It makes the alternating refinement preorder quantitative by, intuitively, tolerating errors (while counting them) in the alternating simulation game. We show that the interface simulation distance satisfies the triangle inequality, that the distance between two interfaces does not increase under parallel composition with a third interface, and that the distance between two interfaces can be bounded from above and below by distances between abstractions of the two interfaces. We illustrate the framework, and the properties of the distances under composition of interfaces, with two case studies.

1 Introduction

The component-based approach is an important design principle in software and systems engineering. In order to document, specify, validate, or verify components, various formalisms that capture behavioral aspects of component interfaces have been proposed [2, 14, 15, 17]. These formalisms capture assumptions on the inputs and their order, and guarantees on the outputs and their order. For closed systems (which do not interact with the environment via inputs or outputs), a natural notion of refinement is given by the simulation preorder. For open systems, which expect inputs and provide outputs, the corresponding notion is given by the alternating simulation preorder [6]. Under alternating simulation, an interface A is refined by an interface B if, after any given sequence of inputs and outputs, B accepts all inputs that A accepts, and B provides only outputs that A provides. The alternating simulation preorder is a boolean notion. Interface A either is refined by interface B , or it is not. However, there are various reasons for which the alternating simulation can fail, and one can make quantitative distinctions between these reasons. For instance, if B does not accept an input that A accepts (or provides an output that A does not provide) at every step, then B is more different from A than an interface that makes a mistake once, or at least not as often as B .

We propose an extension of the alternating simulation to the quantitative setting. We build on the notion of simulation distances introduced in [9]. Consider the definition of alternating simulation of an interface A by an interface B as a two-player game. In this game, Player 1 chooses moves (transitions), and Player 2 tries to match them. Player 1 chooses input transitions from the interface A and output transitions from interface B , Player 2 responds by a transition from the other system. The goal of Player 1 is to prove that the alternating simulation does not hold, by driving the game into a state from which Player 2 cannot match the chosen move; the goal of Player 2 is to prove that there exists an alternating simulation, by playing the game forever. We extend this definition to the quantitative case. Informally, we will tolerate errors by Player 2. However, Player 2 will pay a certain price for such errors. More precisely, Player 2 is allowed to “cheat” by following a non-existing transition. The price for such

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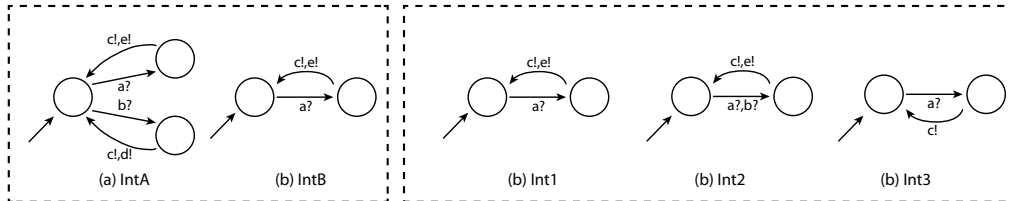


Figure 1: Example 1

transition is given by an *error model*. The error model assigns the transitions from the original system a weight 0, and assigns the new “cheating” transitions a positive weight. The goal of Player 1 is then to maximize the cost of the game, and the goal of Player 2 is to minimize it. The cost is given by an objective function, such as the limit average of transition prices. As Player 2 is trying to minimize the value of the game, she is motivated not to cheat. The value of the game measures how often Player 2 can be forced to cheat by Player 1.

Consider the example in Figure 1. The two interfaces on the left side (IntA and IntB) represent requirements on a particular component by a designer. The three interfaces (Int1, Int2, and Int3) on the right side are interfaces for different off-the-shelf components provided by a vendor. We illustrate how interface simulation distances can be used by the designer to choose a component whose interface satisfies her requirements most closely. Interface Int1 is precisely the interface required by IntB, so the distance from IntB to Int1 will be 0. However, the distance from IntA to Int1 is much greater. Informally, this is because Player 1, choosing a transition of IntA could choose the $b?$ input. Player 2, responding by a transition of Int1 has to cheat by playing the $a?$ input. After that, Player 1 could choose the $e!$ output (as a transition of Int1), and Player 2 (this time choosing a transition from IntA) has to cheat again. Player 2 thus has to cheat at every step. Interfaces Int2 (resp. Int3) improve on Int1 (with respect to requirement IntA), by adding inputs (resp. removing outputs). The distance from IntA to Int2 (Int3) is exactly half of the distance from IntA to Int1. The interfaces Int2 and Int3 have distance 0 to IntB. Int2 and Int3 satisfy the requirements IntA and IntB better than the interface Int1.

The model of behavioral interfaces we consider is a variant of interface automata [2]. This choice was made for ease of presentation of the main ideas of the paper. However, the definition of interface simulation distance can be extended to richer models.

We establish basic properties of the interface simulation distance. First, we show that the triangle inequality holds for the interface simulation distance. This, together with the fact that reflexivity holds for this distance as well, shows that it is a *directed metric* [5]. Second, we give an algorithm for calculating the distance. The interface simulation distance can be calculated by solving the value problem in the corresponding game, that is, in limit-average games or discounted-sum games. The values of such games can be computed in pseudo-polynomial time [18]. (More precisely, the complexity depends on the magnitude of the largest weight used in the error model. Thus the running time is exponential in the size of the input, if the weights are given in binary.)

We present composition and abstraction techniques that are useful for computing and approximating simulation distances between large systems. These properties suggest that the interface simulation distance provides an appropriate basis for a quantitative analysis of interfaces. The composition of interface automata, which also composes the assumptions on their environments, was defined in [2]. In this paper, we prove that the distance between two interfaces does not increase under the composition with a third interface. The technical challenges in the proof appear precisely because of the involved definition of composition of interface automata, and are not present in the simpler setting closed systems of [9]. We

also show that the distance between two interfaces can be over- or under- approximated by distances between abstractions of the two interfaces. For instance, for over-approximation, input transitions are abstracted universally, and output transitions are abstracted existentially.

We illustrate the interface simulation distance, and in particular its behavior under interface composition, on two case studies. The first concerns a simple message transmission protocol over an unreliable medium. The second case study models error correcting codes.

Summarizing, this paper defines the interface simulation distance for automata with inputs and outputs, establishes basic properties of this distance, as well as abstraction and compositionality theorems.

Related work. The alternating simulation preorder was defined in [6] in order to generalize the simulation preorder to input/output systems. The alternating simulation can be checked in polynomial time, as is the case for the ordinary simulation relation. Interface automata have been defined in [2] to facilitate component-based design, and the theory was developed further, e.g., in [13, 4, 11, 16]. The natural notion of refinement for interface automata corresponds to the alternating simulation preorder. Simulation distances have been proposed in [9] (the full version was published recently in [10]) as a step towards extending specification formalisms and verification algorithms to a quantitative setting. This paper extends the quantitative notion of simulation distances to the alternating simulation preorder for interface automata.

There have been several attempts to give mathematical semantics to reactive processes based on quantitative metrics rather than boolean preorders [7, 1]. In particular for probabilistic processes, it is natural to generalize bisimulation relations to bisimulation metrics [12], and similar generalizations can be pursued if quantities enter through continuous variables, such as time [8]. In contrast, we consider distances between purely discrete (non-probabilistic, untimed) systems.

2 Interface Simulation Distances

2.1 Broadcast Interface Automata

Interface automata were introduced in [2] to model components of a system communicating through interfaces. We use a variant of interface automata which we call *broadcast interface automata* (BIA).

A *broadcast interface automaton* F is a tuple $(Q, q^0, A^I, A^O, \delta)$ consisting of a finite set of states Q , the initial state q^0 , two disjoint sets A^I and A^O of input and output actions and a set $\delta \subseteq Q \times A \times Q$ of transitions. We let $A = A^I \cup A^O$. Additionally, we require that F is input deterministic, i.e., for all $q, q', q'' \in Q$ and all $\sigma_I \in A^I$ if there are transitions (q, σ_I, q') and $(q, \sigma_I, q'') \in \delta$, then $q' = q''$.

Given a state $q \in Q$ and an action $\sigma \in A$ let $\text{post}(q, \sigma) = \{q' \mid (q, \sigma, q') \in \delta\}$. Similarly given a state $q \in Q$ let $A^I(q)$ be the input actions enabled at state q ($A^O(q)$ for output actions). Note that the *BIA* is not required to be input-enabled, hence there may be states q where $A^I(q) \neq A^I$.

An example of a *BIA* can be seen on Figure 1. The actions terminated by the $?(!)$ symbol are input (output) actions, respectively. The *BIA IntA* can input $a?$ or $b?$. Depending on the input it can output $c!$ or $e!$ ($c!$ or $d!$, respectively), and this repeats forever.

There are two differences between standard interface automata and BIAs. First, the communication paradigm in interface automata is pairwise, i.e., an output from a component can serve as the input to only one other component. However, in BIAs the communication model is *broadcast*, i.e., an output from a component can serve as input for multiple different components. Second, standard interface automata have hidden (internal) actions, which are omitted from the definition of BIAs. These modifications were introduced in order to simplify the presentation of the interface simulation distance, and to enable us to clearly express the principal ideas. The distance can be defined for richer models of automata with inputs

and outputs, including for standard interface automata.

Alternating Simulation. Given two BIAs F and F' , a binary relation on states $\succeq \subseteq Q_F \times Q_{F'}$ is an alternating simulation by F of F' if $q \succeq q'$ implies:

1. for all $\sigma_I \in A^I(q)$ and $r \in \text{post}(q, \sigma_I)$, there exists a state $r' \in \text{post}(q', \sigma_I)$ such that $r \succeq r'$;
2. for all $\sigma_O \in A^O(q')$ and $r' \in \text{post}(q', \sigma_O)$, there exists a state $r \in \text{post}(q, \sigma_O)$ such that $r \succeq r'$.

A BIA F' refines a BIA F (written $F \succeq F'$) if

1. $A_F^I \subseteq A_{F'}^I$ and $A_F^O \supseteq A_{F'}^O$;
2. there exists an alternating simulation \succeq by F of F' such that $q_F^0 \succeq q_{F'}^0$.

The intuition behind the above definitions is that when $F \succeq F'$, the component F in a system can be replaced with component F' without leading to any erroneous behavior.

Consider the BIAs $IntB$ and $Int2$ in Figure 1. Note that $Int2$ refines $IntB$, i.e., $IntB \succeq Int2$. One can easily observe that the converse is not true.

Composition of BIAs. When composing BIAs it is required for the inputs (outputs) of the two automata not to mix, i.e., two BIAs F and G are *composable* if $A_F^I \cap A_G^I = \emptyset$ and $A_F^O \cap A_G^O = \emptyset$. For two composable BIAs F and G we let $shared(F, G) = A_F \cap A_G$.

Whenever there is an action $\sigma \in shared(F, G)$ the composed system makes a joint transition and the output action remains visible. Finally, the *composition* of two composable BIAs $F = (Q_F, q_F^0, A_F^I, A_F^O, \delta_F)$ and $G = (Q_G, q_G^0, A_G^I, A_G^O, \delta_G)$ is a BIA $F \otimes G = (Q_{F \otimes G}, q_{F \otimes G}^0, A_{F \otimes G}^I, A_{F \otimes G}^O, \delta_{F \otimes G})$ where the states of the product $Q_{F \otimes G}$ are $Q_F \times Q_G$, with the initial state $q_{F \otimes G}^0 = (q_F^0, q_G^0)$. The product input(output) alphabet is $A_{F \otimes G}^I = A_F^I \cup A_G^I \setminus shared(F, G)$ ($A_{F \otimes G}^O = A_F^O \cup A_G^O$), respectively. The transition relation $\delta_{F \otimes G}$ contains the transition $((q, r), \sigma, (q', r'))$ iff

- $\sigma \notin shared(F, G)$ and $(q, \sigma, q') \in \delta_F$ and $r = r'$, or
- $\sigma \notin shared(F, G)$ and $(r, \sigma, r') \in \delta_G$ and $q = q'$, or
- $\sigma \in shared(F, G)$ and $(q, \sigma, q') \in \delta_F$ and $(r, \sigma, r') \in \delta_G$.

Given two composable BIAs F and G , a product state (p, q) is an *error state* of the product automaton $F \otimes G$ if there exists a shared action $\sigma \in shared(F, G)$ such that $\sigma \in A_F^O(p)$ and $\sigma \notin A_G^I(q)$ or $\sigma \in A_G^O(q)$ and $\sigma \notin A_F^I(p)$. A state (p, q) of the product automaton is *compatible* if no error state is reachable from the state (p, q) using only output actions. A state that is not compatible is *incompatible*.

Two BIAs F and G are *compatible* iff the initial state of their product automaton $F \otimes G$ is compatible (denoted by $F \sim G$). The product of two compatible automata F and G restricted to compatible states is denoted by $F \parallel G$ and is obtained from $F \otimes G$ by removing input action transitions that lead from compatible to incompatible states.

A composition of BIAs F and F' and the composed interface $F \parallel F'$ restricted to compatible states can be seen on Figure 2. Actions a and c become shared actions in the composition and the composed interface makes a joint transition on these actions. Note that when constructing the product $F \otimes F'$ an error state is reachable, therefore the input transition $(b?)$ leading from a compatible to an incompatible state is removed from the product $F \parallel F'$.

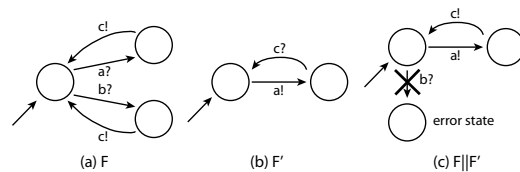


Figure 2: Composition of BIAs

2.2 Graph Games

In this section, we introduce concepts from the theory of 2-player graph games that are necessary for the exposition. A *game graph* is a tuple $H = (S, S_1, S_2, E, s_1)$, where S is a finite set of states, $E \subseteq S \times S$ is a

set of edges, $s_i \in S$ is an initial state, and S_1 and S_2 partition the state space S into Player 1 and Player 2 states respectively. The game proceeds as follows: First, a token is placed on the initial state s_i . Now, whenever the token is on a state $s \in S_i, i \in \{1, 2\}$ Player i picks a successor s' of s and the token is moved to the state s' , and the process continues infinitely. The result $\rho = \rho_0\rho_1\dots$ of an infinite sequence of visited states is called a *play*. The set of all plays is denoted by Ω .

Strategies. A *strategy for Player i* is a recipe for Player i to choose the next transition. Formally, a Player i strategy $\pi^i : S^* \cdot S_i \rightarrow S$ is a function such that for all $w \in S^*$ and $s \in S_i$, we have $(s, \pi^i(w \cdot s)) \in E$. We denote by Π^i , the set of all Player i strategies. The string w is called the *history* of the play and s is the called the *last state* of the play.

We define two restricted notions of strategies that are sufficient in many cases. A strategy is:

- *Positional* or *memoryless* if the chosen successor is independent of the history, i.e., for all $w \in S^*$, $\pi^i(w \cdot s) = \pi^i(s)$.
- *Finite-memory* if there exists a finite memory set M and an initial memory state $m_0 \in M$, a memory function $\mu : S^* \times M \rightarrow M$, and a move function $\nu : M \times S_i \rightarrow S$ such that: (a) $\mu(\varepsilon, m_0) = m_0$ and $\mu(w \cdot s, m_0) = \mu(s, \mu(w, m_0))$; and (b) $\pi^i(w \cdot s) = \nu(\mu(w, m_0), s)$. Intuitively, (a) the state of the memory is updated based only upon the previous state of the memory and the last state of the play; and (b) the chosen successor depends only on the state of the memory and the last state of the play.

A play $\rho = \rho_0\rho_1\dots$ is *conformant* to a Player i strategy π^i if for every $\rho_j \in S_i$, we have $\pi^i(\rho_0\dots\rho_j) = \rho_{j+1}$. Given a game graph H and strategies π^1 and π^2 for Player 1 and Player 2 respectively, we get a unique path $Out_H(\pi^1, \pi^2)$ that is conformant to both of the strategies.

Objectives. A *boolean objective* $\Phi \subseteq \Omega$ denotes that Player 1 wins if the resultant play ρ is in Φ , and that Player 2 wins otherwise. A Player i *winning strategy* is one for which all plays conformant to it are winning for Player i . We deal with only the *reachability* boolean objective. Given a set of target states $T \subseteq S$ and a play $\rho = \rho_0\rho_1\dots$, $\rho \in Reach_T$ if and only if $\exists i : \rho_i \in T$.

A *quantitative objective* is a real-valued function $f : \Omega \rightarrow \mathbb{R}$ and the goal of Player 1 is to maximize the value of the play, whereas the goal of Player 2 is to minimize it. We consider the following quantitative objectives: Given a weight function $\omega : E \rightarrow \mathbb{R}$, we have

- $LimAvg(\rho) = \liminf_{n \rightarrow \infty} \frac{1}{n} \cdot \sum_{i=0}^{n-1} \omega((\rho_i, \rho_{i+1}))$
- $Disc_\lambda(\rho) = \lim_{n \rightarrow \infty} \sum_{i=0}^{n-1} \lambda^i \cdot \omega((\rho_i, \rho_{i+1}))$

Given a quantitative objective f and a Player 1 strategy π^1 , the *value of strategy π^1* , denoted by $v_1(\pi^1 \in \Pi^1)$ is $\inf_{\pi^2 \in \Pi^2} f(Out_H(\pi^1, \pi^2))$. Similarly, value $v_2(\pi^2)$ of a Player 2 strategy π^2 is $\sup_{\pi^1 \in \Pi^1} f(Out_H(\pi^1, \pi^2))$. The *value of the game* is defined as $\sup_{\pi^1 \in \Pi^1} v_1(\pi^1)$ or equivalently, $\sup_{\pi^1 \in \Pi^1} \inf_{\pi^2 \in \Pi^2} v(Out_H(\pi^1, \pi^2))$. A strategy is *optimal* if its value is equal to the value of the game. We conclude this section by stating the memoryless-determinacy theorems for *LimAvg* and *Disc* objectives (see e.g.[18]).

Theorem 1. *For any game graph H and a weight function ω , we have that $\sup_{\pi^1 \in \Pi^1} \inf_{\pi^2 \in \Pi^2} f(Out_H(\pi^1, \pi^2)) = \inf_{\pi^2 \in \Pi^2} \sup_{\pi^1 \in \Pi^1} f(Out_H(\pi^1, \pi^2))$ for $f \in \{LimAvg, Disc\}$. Furthermore, there exist memoryless optimal strategies for both players.*

2.3 Interface Simulation Games

Simulation like relations can be characterized as the existence of winning strategies in 2-player games known as simulation games. Here, we present the analogue of simulation games for alternating simulation of BIAs.

Alternating Simulation Games. Intuitively, given BIAs F and F' , Player 1 picks either an input transition from the F or an output transition from F' , and Player 2 has to match with a corresponding transition with the same action from F' or F , respectively. We have $F \succeq F'$ if and only if Player 2 can keep matching the transitions forever.

Given BIAs $F = (Q_F, q_F^0, A_F^I, A_F^O, \delta_F)$ and $F' = (Q_{F'}, q_{F'}^0, A_{F'}^I, A_{F'}^O, \delta_{F'})$, such that $A_F^I \subseteq A_{F'}^I$ and $A_F^O \supseteq A_{F'}^O$, the *alternating simulation game* $H_{F,F'} = (S, S_1, S_2, E, s^0)$ is defined as follows:

- The state-space $S = S_1 \cup S_2$, where $S_1 = \{(s, \#, s') \mid s \in Q_F, s' \in Q_{F'}\} \cup \{s_{err}\}$ and $S_2 = \{(s, \sigma, s') \mid s \in Q_F, s' \in Q_{F'}, \sigma \in \Sigma\}$.
- The initial state is $s^0 = (q_F^0, \#, q_{F'}^0)$;
- The Player 1 edges correspond to:
 - Either input transitions from F : $(s, \#, s') \rightarrow (t, \sigma_I, s') \in E \Leftrightarrow s \xrightarrow{\sigma_I} t \in \delta_F$; or
 - Output transitions from F' : $(s, \#, s') \rightarrow (s, \sigma_O, t') \in E \Leftrightarrow s' \xrightarrow{\sigma_O} t' \in \delta_{F'}$.
- The Player 2 edges correspond to
 - Either input transitions from F' : $(t, \sigma_I, s') \rightarrow (t, \#, t') \in E \Leftrightarrow s' \xrightarrow{\sigma_I} t' \in \delta_{F'}$; or
 - Output transitions from F : $(s, \sigma_O, t') \rightarrow (t, \#, t') \in E \Leftrightarrow s \xrightarrow{\sigma_O} t \in \delta_F$.
- For all states $s \in S_2$ if there is no outgoing edge from s we make an edge $s \rightarrow s_{err}$; and for all states $s \in S_1$ if there is no outgoing edge from s we make a selfloop on s .

The objective of Player 1 is to reach the state s_{err} and the objective of Player 2 is to avoid reaching s_{err} .

We have the following theorem.

Theorem 2. *Given BIAs F and F' and the corresponding alternating simulation game $H_{F,F'}$, we have that $F \succeq F'$ if and only if Player 2 has a winning strategy in $H_{F,F'}$.*

2.4 Quantitative Interface Simulation Games

We aim to establish a distance function between broadcast interface automata that expresses how “compatible” the automata are, even when the standard boolean notion of refinement is not true. In order to do that we give more power to Player 2, by allowing him to play actions that are not originally in the game. However, to avoid free use of such actions every time Player 2 plays the added action he receives a penalty. As we do not want Player 2 to play completely arbitrarily we formalize the allowed “cheating” by a notion of input (output) error models.

An *input (output) error model* is a function $M : A^I \times A^I \rightarrow \mathbb{N} \cup \{\perp\}$ resp. $(A^O \times A^O \rightarrow \mathbb{N} \cup \{\perp\})$. We require that for all $a, b, c \in A^I (A^O)$ that $M(a, a) = 0$ and $M(a, b) + M(b, c) \geq M(a, c)$. Given a BIA $F = (Q, q^0, A^I, A^O, \delta)$ and an error model M , let the *modified system* be $F \otimes M = (Q, q^0, A^I, A^O, \delta^e)$ with a weight function $\omega_M : \delta^e \rightarrow N$, where the terms are defined as follows:

- $(s, \sigma_2, t) \in \delta^e \Leftrightarrow ((s, \sigma_1, t) \in \delta \wedge M(\sigma_1, \sigma_2) \neq \perp)$;
- $\omega_M((s, \sigma_2, t)) = \min_{(s, \sigma_1, t) \in \delta} \{M(\sigma_1, \sigma_2)\}$;

Note, that the automata enhanced with input error models are not BIAs as they may not be input deterministic. However, all the definitions for BIAs can be naturally interpreted on a BIA composed with an error model. Given two BIAs F with an output error model M_O and F' with an input error model M_I we construct a game $H_{F \otimes M_O, F' \otimes M_I}$ for systems $F \otimes M_O$ and $F' \otimes M_I$ similarly as is described for BIAs in the previous subsection. We measure the “cheating” performed by Player 2 as either the limit-average or the discounted sum of the weights on the transition. The transitions going out from Player 1 states get weight 0 with an exception of the selfloop on s_{err} state that gets the maximal weight assigned by the error model. The weight of an edge from a Player 2 state is either (a) twice the weight of the corresponding $F' \otimes M_I$ transition when matching inputs; or (b) twice the weight of the corresponding $F \otimes M_O$ transition when matching outputs. The factor 2 occurs due

to normalization. Given two BIAs F and F' , a quantitative objective $f \in \{\text{LimAvg}, \text{Disc}_\lambda\}$ and an input (output) error model $M_I(M_O)$, the *interface simulation distance* $d^f(F \otimes M_I, F' \otimes M_O)$ is defined to be the value of game $H_{F \otimes M_O, F' \otimes M_I}$. Consider again the example in Figure 1, when using error models that can play input (output) actions interchangeably by receiving penalty 1. The distances d among the systems for the quantitative objective LimAvg are presented in Table 1. The result $d(\text{IntA}, \text{Int1}) = 1$ is surprising when comparing to simulation where the distance would be 0. The high distance is due to the alternating matching. Player 1 chooses to play input $b?$ in IntA. Player 2 has no choice but to respond by $b?$ and receiving the first penalty. Again Player 1 plays the $e!$ output action forcing the second Player 2 to cheat again. By repeating these transitions Player 1 can force Player 2 to receive a penalty in every turn and therefore the distance is 1. The distance can be improved by adding an $b?$ input action as is shown in the case of *Int2*, where the distance has decreased to $1/2$. Player 2 can now match every possible input, but fails to react on the $e!$ output action. Player 1 can ensure the value $1/2$ by playing $b?$ an $e!$ repeatedly. The second option to improve the distance is to remove some of the output edges as is shown in *Int3*. Player 2 still cannot match the input $b?$ but can respond to $c!$ without receiving any penalty. As in the previous case playing a sequence $b?, c!$ ensures value $1/2$ for Player 1.

2.5 Complexity

By the results presented in [18] the complexity of finding the value of the game for *LimAvg* objective is in $\mathcal{O}(|V|^3 \cdot |E| \cdot W)$, where $|V|$ is the number of game states, $|E|$ is the number of edges and W is the maximal non-infinite weight used in the game. In our case for BIA $F = (Q_F, q_F^0, A_F^I, A_F^O, \delta_F)$ and $G = (Q_G, q_G^0, A_G^I, A_G^O, \delta_G)$ and error model M_O, M_I , the number of states in the game $H_{F \otimes M_O, G \otimes M_I}$ is $|Q_F| \cdot |Q_G| \cdot (|A_F| + |A_G| + 1) + 1$ and the number of edges is bounded by $|V|^2$. The algorithm for Disc_λ given a fixed λ is in PTIME by a variation of an algorithm presented in [18].

| | Int1 | Int2 | Int3 |
|------|------|------|------|
| IntA | 1 | 1/2 | 1/2 |
| IntB | 0 | 0 | 0 |

Table 1: Ex. 1

3 Properties of Interface Simulation Distances

In this section, we present properties of the interface simulation distance. The distance satisfies the triangle inequality and does not increase when BIAs are composed with a third interface. Moreover, the distance can be bounded from above and below by considering the abstractions of the systems.

3.1 Triangle Inequality

The triangle inequality is the quantitative analogue of the boolean transitivity property. We show that the interface simulation distance is a directed metric, i.e., it satisfies the triangle inequality and the reflexivity property. The proof is similar to the case of pure simulation distances presented in [9].

Theorem 3. *For $f \in \{\text{LimAvg}, \text{Disc}_\lambda\}$ the interface simulation distance d^f is a directed metric, i.e.:*

1. *For all error models M_I, M_O and BIAs F_1, F_2, F_3 we have:*

$$d^f(F_1 \otimes M_O, F_3 \otimes M_I) \leq d^f(F_1 \otimes M_O, F_2 \otimes M_I) + d^f(F_2 \otimes M_O, F_3 \otimes M_I)$$

2. *For all error models M_I, M_O and BIAs F we have $d^f(F \otimes M_O, F \otimes M_I) = 0$.*

3.2 Composition

In this part we show that the distance between two *BIAs* F and F' does not increase when both are composed with a third *BIA* G , when using the same error models M_O, M_I .

As we want to use the same output error model M_O in F and $F \parallel G$ (similarly M_I in F' and $F' \parallel G$), we restrict the error models. Assume $\sigma_1 \neq \sigma_2$, then:

- If $M_O(\sigma_1, \sigma_2) \neq \perp$, then $\sigma_2 \in A_{F \parallel G}^O \setminus A_G^O$.
- If $M_I(\sigma_1, \sigma_2) \neq \perp$, then $\sigma_2 \in A_{F' \parallel G}^I \setminus A_G^I$.

Remark 4. By the above restriction on the error models, we get $A_F^O = A_{F \otimes M_O}^O$ and $A_{F'}^I = A_{F' \otimes M_I}^I$. Therefore, we get that $F \otimes M_O$ and $F' \otimes M_I$ are composable with G if F and F' are composable with G .

The following lemma establishes that extending F with the error model does not change compatibility with G . Note that this would not be the case if the assumption on the error models was violated.

Lemma 5. For all *BIAs* F, G and error model M_I, M_O , if F is compatible with G , then $F \otimes M_O(M_I)$ is compatible with G .

The proof for the output error model M_O follows easily from the fact that any sequence of output actions in $(F \otimes M_O) \otimes G$ can be replayed in $F \otimes G$ by replacing those actions that are added by the error model in $F \otimes M_O$ with the original transitions from F . The case of input error model follows directly from the definition.

First, we establish the following preliminary lemma in anticipation of the main theorem. We need to show that property of incompatibility and of being error states is preserved even when the *BIAs* are extended with error models.

Lemma 6. Let F, F' , and G be *BIAs* with $\text{shared}(F', G) \subseteq \text{shared}(F, G)$, and M_O, M_I error models. Suppose that (p', q) is a state in $(F' \otimes G) \otimes M_I$ and $p \succeq p'$ for some alternating simulation relation $\succeq \subseteq Q_F \times Q_{F'}$ between $F \otimes M_O$ and $F' \otimes M_I$. Then,

1. (p', q) is an error state, then (p, q) is an error state;
2. (p', q) is an incompatible state, then (p, q) is an incompatible state.

Proof. 1. From the definition of an error state, it follows that there exists an action $a \in \text{shared}(F', G) \subseteq \text{shared}(F, G)$ such that either,

- $a \in A_{F'}^O(p')$ and $a \notin A_G^I(q)$, or
- $a \in A_G^O(q)$ and $a \notin A_{F'}^I(p')$.

In the former case as $p \succeq p'$, we have $a \in A_F^O(p)$, hence (p, q) is an error state. In the latter case from $a \notin A_{F'}^I(p')$ and $p \succeq p'$ follows that $a \notin A_F^I(p)$ and again (p, q) is an error state.

2. If (p', q) is an incompatible state in $(F' \otimes G) \otimes M_I$, it follows that an error state is autonomously reachable from (p', q) using only output actions. As $p \succeq p'$ the same sequence of actions can be replayed from the state (p, q) : (i) the actions that change only the G component of the state are the same, and (ii) the actions that change the F' component can be simulated in F as $p \succeq p'$. We have either (a) that the replayed sequence reaches an error state before the end; or (b) the last reached state is an error state. The claim (b) follows from the previous part 1. In both cases, we get the required result. □

The following lemma states that the broadcast interface automata enhanced with error models have the same properties on composition as interface automata. Note that the restrictions on error models imply that the *BIA* composed with an error model remains input deterministic on shared actions. Due to this fact the proof is a variation of a similar result for interface automata presented in [3].

Lemma 7. Consider three BIAs F, G , and F' with input (output) error models $M_I(M_O)$, such that $F \otimes M_O$ and G are composable and $\text{shared}(F', G) \subseteq \text{shared}(F, G)$. If $F \otimes M_O \sim G$ and $F \otimes M_O \succeq F' \otimes M_I$, then $F' \sim G$.

Finally, we can prove the main theorem, showing that composition with a third interface can only decrease the distance. In the game between the composed systems, we construct a Player 2 strategy that (a) for the first component, use the Player 2 strategy from the game $H_{F \otimes M_O, F' \otimes M_I}$; and (b) for the second component, copies the Player 1 transition.

There are two obstacles to this scheme of using the Player 2 strategy in the first component: (a) some of the actions become shared actions; and (b) some of the states of the composed system may become unreachable due to their incompatibility. Using Lemma 6 and Lemma 5, we will overcome the obstacles.

Theorem 8. Consider three BIAs F, G , and F' , a quantitative objective $f \in \{\text{LimAvg}, \text{Disc}_\lambda\}$, and input (output) error models M_I, M_O such that F and G are composable, compatible, and $\text{shared}(F', G) \subseteq \text{shared}(F, G)$. Then,

$$d^f(F \otimes M_O, F' \otimes M_I) \geq d^f((F \parallel G) \otimes M_O, (F' \parallel G) \otimes M_I).$$

Proof. We split the proof into two cases.

(a) Player 2 cannot avoid reaching s_{err} state in the game $H_{F \otimes M_O, F' \otimes M_I}$. This is the easier case and we will not present the details here.

(b) Player 2 can avoid reaching the s_{err} state in the game $H_{F \otimes M_O, F' \otimes M_I}$. We get that $F' \otimes M_I$ refines $F \otimes M_O$. Let \succeq' be the maximal alternating simulation relation and furthermore, let π_*^2 be the optimal positional Player 2 strategy in the game. By Remark 4 we get that $F \otimes M_O$ is composable with G , from Lemma 5 it follows that $F \otimes M_O$ is compatible with G and finally by Lemma 7 we get that the composition $F' \parallel G$ is not empty.

Using the relation \succeq' , we define an alternating simulation relation \succeq^* by $(F \parallel G) \otimes M_O$ of $(F' \parallel G) \otimes M_I$ as follows:

$$(p, q) \succeq^* (r, s) \Leftrightarrow p \succeq' r \wedge q = s \quad \text{for } p \text{ and } r \text{ states of } F \text{ and } F' \text{ and } q \text{ and } s \text{ states of } G$$

We construct a positional Player 2 strategy π^2 in the game $H_{(F \parallel G) \otimes M_O, (F' \parallel G) \otimes M_I}$ based on the strategy π_*^2 , such that for all Player 1 strategies π^1 the strategy π^2 will ensure that $f(\text{out}(\pi^1, \pi^2)) \leq d^f(F \otimes M_O, F' \otimes M_I)$.

We will match actions in the first component using the strategy π_*^2 . Actions from the G component are going to be copied directly. This will ensure that every reachable Player 1 state $((p, q), \#, (r, s))$ satisfies $(p, q) \succeq^* (r, s)$. We have the following cases based on the kind of transition chosen by Player 1:

- **Unshared actions from G :** If Player 1 chooses the state $((p, q'), \sigma_I, (r, s))$, we have $\pi^2(((p, q'), \sigma_I, (r, s))) = ((p, q'), \#, (r, q'))$. This is possible as $q = s$. Similarly for a state $((p, q), \sigma_O, (r, s'))$ we define $\pi^2(((p, q), \sigma_O, (r, s')))) = ((p, s'), \#, (r, s'))$
- **Unshared input action from F :** If Player 1 chooses the state $((p', q), \sigma_I, (r, s))$, we have $\pi^2(((p', q), \sigma_I, (r, s))) = ((p', q), \#, (r', s))$ if $\pi_*^2(p', \sigma_I, r) = (p', \#, r')$. We have to make sure that the transition $((r, s), \sigma_I, (r', s))$ is not removed to ensure compatibility. In that case, from Lemma 6 and the fact that $(p, q) \succeq^*(r, s)$, we would have that (p, q) is an incompatible state. However, the transition from compatible to incompatible state in the $(F \parallel G) \otimes M_O$ component is possible only by a Player 1 transition. Therefore, we have that Player 2 will not play an incompatible transition if Player 1 does not play an incompatible transition.
- **Unshared output action from F' :** This case is similar to the previous, but simpler as output transitions are not removed to ensure compatibility.

- **Shared output action (input from G):** If Player 1 chooses the state $((p, q), \sigma_O, (r', s'))$, we have $\pi^2(((p, q), \sigma_O, (r', s')))) = ((p', s'), \#, (r', s'))$ if $\pi_*^2(p, \sigma_O, r') = (p', \#, r')$.
- **Shared output action (output from G):** This case is the trickiest due to the need to simulate inputs in the first component the “wrong” way (from F' to F).

If Player 1 chooses the state $((p, q), \sigma_O, (r', s'))$, we have $\pi^2(((p, q), \sigma_O, (r', s')))) = ((p', s'), \#, (r', s'))$ where p' is the unique state reachable from p on the action σ_O . The existence of this action is argued here.

- Firstly, due to input determinacy on shared actions, at most one state is reachable from p on action σ_O . Furthermore, there can be no transitions with action σ_O added by M_I as σ_O is shared with G .
- Second, assuming that (p, q) is compatible, we have that at least one state is reachable from p on action σ_O . As in the above cases, we can argue that (p, q) is compatible.

In the game $H_{F \otimes M_O, F' \otimes M_I}$, we can translate this step as follows. From $(p, \#, r)$, Player 1 chooses the successor (p', σ_O, r) (note that σ_O is an input action for F and F'); and then, $\pi_*^2((p', \sigma_O, r)) = (p', \#, r')$. The justification is as follows: Since, s_{err} is not visited, π_*^2 has to choose a successor with the transition symbol σ_O (which is uniquely p' , as above).

Let π^1 be an arbitrary Player 1 strategy. If we consider the play $\rho = out(\pi^1, \pi^2)$, (a) If the first case from the 5 above occurs, the transition weight is 0; and (b) For any of the other cases, the transition weight is the same as weights from a play ρ' in $H_{F \otimes M_O, F' \otimes M_I}$ conformant to π_*^2 .

Therefore, we have that weights in ρ are weights in ρ' , interspersed with some 0 weights. Hence, we get

$$d^f((F \parallel G) \otimes M_O, (F' \parallel G) \otimes M_I) \leq f(\rho') \leq f(\rho) \leq v(\pi_*^2) = d^f(F \otimes M_O, F' \otimes M_I)$$

proving the required result. \square

3.3 Abstraction

In the classical boolean case, systems can be analyzed with the help of sound over- and under-approximations. We present the quantitative analogue of the soundness theorems for over- and under-approximations. The distances between systems is bounded by the distances between their abstractions.

Given a BIA $F = (Q, q^0, A^I, A^O, \delta)$ a $\forall\exists$ abstraction is a BIA $F^{\forall\exists} = (S, [q^0], A^I, A^O, \delta^{\forall\exists})$, where S are the equivalence classes of some equivalence relation on Q and

$$\delta^{\forall\exists} = \{(s, \sigma_I, s') \mid \sigma_I \in A^I \text{ and } \forall q \in s, \exists q' \in s' : (q, \sigma_I, q') \in \delta\} \cup \{(s, \sigma_O, s') \mid \sigma_O \in A^O \text{ and } \exists q \in s, \exists q' \in s' : (q, \sigma_O, q') \in \delta\}$$

Similarly we define the $\exists\forall$ abstraction BIA with the transition relation defined as follows:

$$\delta^{\exists\forall} = \{(s, \sigma_I, s') \mid \sigma_I \in A^I \text{ and } \exists q \in s, \exists q' \in s' : (q, \sigma_I, q') \in \delta\} \cup \{(s, \sigma_O, s') \mid \sigma_O \in A^O \text{ and } \forall q \in s, \exists q' \in s' : (q, \sigma_O, q') \in \delta\}$$

Theorem 9. *Let f be one of the objectives in $\{LimAvg, Disc_\lambda\}$ and F, G be arbitrary BIAs with M_O, M_I as error models, then the following inequalities hold:*

$$d^f(F^{\forall\exists} \otimes M_O, G^{\exists\forall} \otimes M_I) \leq d(F \otimes M_O, G \otimes M_I) \leq d^f(F^{\exists\forall} \otimes M_O, G^{\forall\exists} \otimes M_I)$$

Proof. Let π^2 be the optimal positional Player 2 strategy in the game $H_{F \otimes M_O, G \otimes M_I}$ we construct a positional Player 2 strategy π_*^2 in $H_{F \vee \exists \otimes M_O, G \exists \forall \otimes M_I}$ that is going to ensure the needed value. When defining the strategy, we need to distinguish between two cases:

Input actions Let the state be (s_F, σ_I, s_G) for some $\sigma_I \in A^I$. We pick a state $q_F \in s_F$ and $q_G \in s_G$ such that strategy π^2 can ensure the value from the state (q_F, σ_I, q_G) . Let π^2 reach state $(q_F, \#, q'_G)$ by playing action σ_I . Then π_*^2 plays action σ_I to a state $(s_F, \#, [q'_G])$.

Output actions Similarly as in the previous case let the state be (s_F, σ_O, s_G) for some $\sigma_O \in A^O$. We pick a state $q_F \in s_F$ and $q_G \in s_G$ such that strategy π^2 ensures the value from the state (q_F, σ_O, q_G) . If the state reached by π^2 is $(q'_F, \#, q_G)$ then π_*^2 reaches a state $([q'_F], \#, q_G)$.

From every play conformant to π_*^2 we can extract a play conformant to π^2 such that their values are equal. This concludes the first inequality. The proof of the second inequality is similar, but considers the optimal Player 1 strategy. \square

4 Case Studies

We present two case studies illustrating the interface simulation distances framework. In the first one, we describe a message transfer protocol for sending messages over an unreliable medium. This case study also serves to illustrate the behavior of the distance under interface composition. The second case study is on error correcting codes. In both cases, we use the limit average objective.

4.1 Message Transmission Protocol

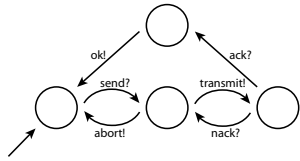


Figure 3: *BIA Send*

Consider a *BIA Send* in Figure 3. It receives a message via the input *send?*. It then tries to send this message over an unreliable medium using the output *transmit!*. In response to *transmit?*, it can receive an input *ack?* signifying successful delivery of the message, or an input *nack?* signifying failure. It can then try to *transmit!* again (unboundedly many times), or it can abort using the output *abort!*. *Send* will be our specification interface.

We consider two implementation interfaces *SendOnce* and *SendTwice* (Figures 4 and 5). *SendOnce* tries to send the message only once and if it does not succeed it reports a failure by sending *fail!* output. The second implementation *SendTwice* tries to send the message twice and it reports a failure only if the transmission fails two times in a row. These implementation interfaces thus differ from the specification interface which can try to transmit the message an unbounded number of times. In particular, *SendOnce* or *SendTwice* do not refine the specification *Send* in the classical boolean sense.

In order to compute distances between *Send* on one hand, and *SendOnce* and *SendTwice*, we first define an error model. The output error model M_O we consider allows to play an output action *fail!*

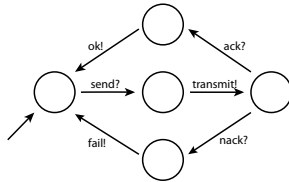


Figure 4: Implementation *SendOnce*

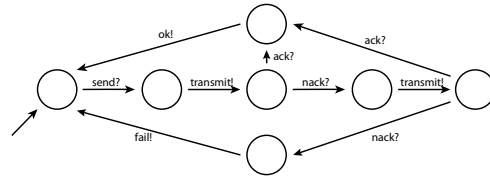


Figure 5: Implementation *SendTwice*

instead of *abort!* with penalty 1. We construct two games: $H_{Send \otimes M_O, SendOnce}$ and $H_{Send \otimes M_O, SendTwice}$. The goal of Player 1 is to make Player 2 cheat by playing *abort!* as often as possible. Therefore, whenever Player 1 has a choice between *ack?* and *nack?* the optimal strategy is going to play *nack?*. This agrees with the intuition that the difference between *Send* and *SendOnce* (*SendTwice*) is manifested in the case when the transmission fails.

The resulting distances are $d(Send \otimes M_O, SendOnce) = \frac{1}{4}$ and $d(Send \otimes M_O, SendTwice) = \frac{1}{6}$. According to the computed distances *SendTwice* is closer to the specification than *SendOnce*, as it tries to send the message before reporting a failure more times.

In order to illustrate the behavior of the interface simulation distance under composition of interfaces, we compose the interfaces *Send*, *SendOnce*, and *SendTwice* with an interface modeling the unreliable medium. The interface *Medium* in Figure 6 models an interface that fails to send a message at most two times in a row. The resulting systems $Send \parallel Medium$, $SendOnce \parallel Medium$ and $SendTwice \parallel Medium$ can be seen on Figure 9, 7 and 8.

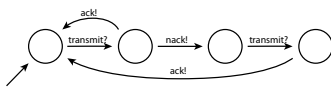


Figure 6: The *Medium*

never fails, both the distances would be 0.

Again we can construct two games and compute their values. We obtain: $d((Send \parallel Medium) \otimes M_O, SendOnce \parallel Medium) = \frac{1}{8}$, and $d((Send \parallel Medium) \otimes M_O, SendTwice \parallel Medium) = 0$. As expected, when the *Medium* cannot fail two times in a row the implementation *SendTwice* is as good as the specification and therefore the distance would be 0. We remark that if we would change the model of the *Medium* to the one that

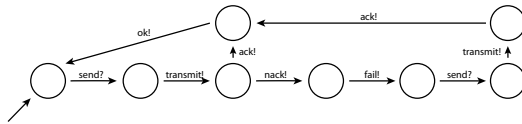


Figure 7: The $SendOnce \parallel Medium$

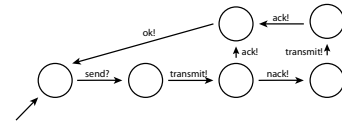


Figure 8: $SendTwice \parallel Medium$

4.2 Error Correcting Codes

Error correcting codes are a way to ensure reliable information transfer through a noisy environment. An error correcting code is for our purposes a function that assigns every binary input string a fixed length *codeword* – again a binary string – that is afterwards transmitted. A natural way how to improve the chances of a correct transfer is to encode each message into a codeword by adding redundant bits. These codewords might get corrupted during the transmission, but the redundancy will cause that codewords are not close to each other (according to Hamming distance), and therefore it is possible to detect erroneous transfer, and sometimes even to correct some of the errors. Note that in what follows, we consider a situation where the only type of error allowed during the transmission is a bit flip.

We consider the well-known standard (n, M, d) -code, where n is the length of the code words, M is the number of different original messages, and d is the minimal Hamming distance between codewords. For instance, if we are given an error correcting code such that the minimal distance between codewords is 3 (i.e. an $(n, M, 3)$ -code for some n and M), then whenever a single bit flip occurs we receive a string that is not among the codewords. However, there exists a unique codeword such that it has the minimal

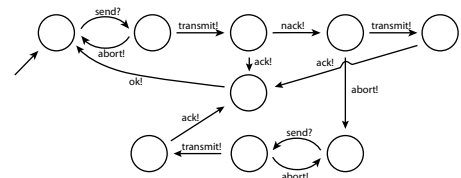


Figure 9: $Send \parallel Medium$

distance to the received string. The received string can be then corrected to this codeword.

We consider two different error correcting codes C_1 and C_2 . Both codes encode 2 bit strings into 5 bit codewords. The codes are given in the following table:

| | | | |
|-------------------|-------------------|-------------------|-------------------|
| $C_1(00) = 00000$ | $C_1(01) = 00101$ | $C_2(00) = 00000$ | $C_2(01) = 01101$ |
| $C_1(10) = 10110$ | $C_1(11) = 11011$ | $C_2(10) = 10110$ | $C_2(11) = 11011$ |

Note that C_1 is a $(5, 4, 2)$ code, i.e., its codewords have length 5, it encodes 4 words and the minimal Hamming distance between two codewords is 2. The minimal distance 2 ensures that when decoding the codeword a single bit flip can be detected, however, not corrected. On the other hand the code C_2 is a $(5, 4, 3)$ code and therefore can detect 2 bit flips and correct a single bit flip.

We model as BIAs the codes C_1 and C_2 and their transmission over a network where bit flips can occur. We construct the BIAs F_{C_1} and F_{C_2} according to the scheme presented in Figure 10 (this scheme is inside a loop and thus occurs repeatedly in both the BIAs). The first action is the input of a two-bit word that should be transmitted. The input word is then encoded according to C_1 (in F_{C_1}), or C_2 (in F_{C_2}). Then a sequence of five actions *flip* (or *noflip*) determines whether a bit flip occurs on the corresponding position. Depending on the *flip/noflip* sequence received and the error correcting code used, the final output is the decoding of the received string, with possibly some of the corrupted bits detected or repaired. More precisely, on an input x , F_{C_1} can detect a single bit flip, and could in this case send an *error* output. In case of more flips, it can even output a symbol different from the input x . Similarly, on an input x , F_{C_2} , in case of a single bit flip, can detect and correct the bit flip, and output the the message x . If there are multiple flips it outputs a string different from the input x . As a specification interface, we consider a BIA F_{Spec} that uses the schema from Figure 10, but always outputs its input message, no matter what sequence of actions *flip* or *noflip* it receives.

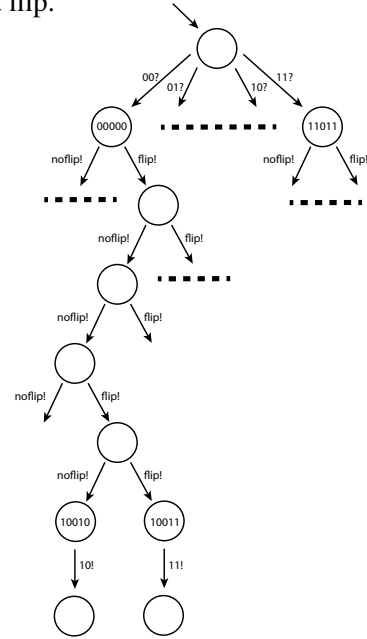


Figure 10: Code C_2

We compose all three automata with a BIA F_{Error} modeling the allowed number of bit flips. Let F_{Error} allow only a single bit flip in 5 bits. The output error model M_O allows the Player 2 to play all the output 2 bit strings together with the *error* actions interchangeably. Then the corresponding values of the games are as follows: (a) $d((F_{C_{Spec}} \parallel F_{Error}) \times M_O, F_{C_1} \parallel F_{Error}) = 0$, and (b) $d((F_{C_{Spec}} \parallel F_{Error}) \times M_O, F_{C_2} \parallel F_{Error}) = \frac{1}{7}$. This shows that the code C_2 performs better than the code C_1 , as it can not only detect bit flips, but can also correct a single bit flip. In case we would use a F_{Error} that could do multiple bit flips in 5 bits, then distances of both codes would be the same.

5 Conclusion

Summary. This paper extends the quantitative notion of simulation distances [9] to automata with inputs and outputs. This distance relaxes the boolean notion of refinement and allows us to measure the “desirability” of an interface with respect to a specification, or select the best fitting interface from several choices that do not refine a specification interface in the usual boolean sense. We show that the interface simulation distance is a directed metric, i.e., it satisfies reflexivity and the triangle inequality. Moreover, the distance can only decrease when the interface are composed with a third interface, which allows us to decompose the specification into simpler parts. Furthermore, we show that the distance can be bounded from above and below by considering abstractions of the interfaces.

Future work. Defining the interface simulation distance for broadcast interface automata is one particular instance of a more general idea. We plan to examine the properties of the distance on other types of I/O automata, with differing notions of composition, with internal actions, or timed automata and automata modeling resource usage. Second, we plan to investigate probabilistic versions of the simulation distances, which would be useful in cases where there is a probability distribution on possible environment inputs. Third, we plan to perform larger case studies to establish which error models and accumulating functions (LimAvg, $Disc_\lambda$, etc.) are most useful in practice.

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